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Tracking Urban Mobility and Occupancy under Social Distancing Policy

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Tracking Urban Mobility and Occupancy under Social Distancing Policy

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The effectiveness of social distancing as a disease-slowing measure is dependent on the degree of compliance that individuals demonstrate to such orders. In this ongoing research, we study outdoor pedestrian activity in New York City, specifically using (a) video streams gathered from public traffic cameras (b) dashcam footage from vehicles driving through the city, and (c) mobile phone geo-location data volunteered by local citizens. This project seeks to form a multi-scale map of urban mobility and space occupancy under social distancing policy. The data collected will enable researchers to infer the activities, contexts, origins, and destinations of the people in public spaces. This information can reveal where and, in turn, why stay-at-home orders are and are not being followed. As a work in progress, it is yet too early for detailed findings on this project. However, we report here on several unanticipated factors that have already influenced the course of the project, among them: the death of George Floyd and subsequent protests, data collection challenges, changes in the weather, and the unexpected nature of the progression of COVID-19.

 $\label{eq:CCS} \mbox{Concepts:} \bullet \mbox{Human-centered computing} \rightarrow \mbox{Empirical studies in HCI; Geographic visualization;} \bullet \mbox{Applied computing} \rightarrow \mbox{Sociology;}$

Additional Key Words and Phrases: COVID-19, social distancing, urban mobility, New York city, geographic visualization

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1 INTRODUCTION

On March 20th, 2020, New York Governor Andrew M. Cuomo issued a "stay at home" order to slow the spread of COVID-19. He instructed the majority of the public, excluding those working in essential occupations, not to go physically to work, nor to gather for parties, celebrations, or social events. This policy of social distancing has been established to reduce transmission and spread of infectious diseases such as pandemic influenza. Empirical case studies affirm predictions of mathematical models that indicate that even mild behavior changes can have a dramatic effect in slowing an epidemic [45]. However, the effectiveness of social distancing as a disease-slowing measure is dependent on the degree of compliance that individuals take to such orders.

In this article, we describe an ongoing research project that studies outdoor pedestrian activity in New York City. To create a holistic view on the city, we collect data in three different scales: (a) The *City* scale is gathered through video streams gathered from public traffic cameras, (b) the *Public* scale is gathered through video footage taken from instrumented vehicles driving through city, and (c) the *Individual* scale is gathered through mobile phone location data volunteered by local citizens. These multi-scale data will be used to form a map of urban mobility and space occupancy under social distancing policy.

2 BACKGROUND

In 1854, amid an outbreak of cholera in London's Soho neighborhood, physician John Snow created a map of the cholera deaths that occurred at different households [65]. Snow's map illustrated that deaths were clustered around the Broad Street pump, convincingly enough that the local council removed the handle of the pump to discourage its use [34]. This story is often told to show how data can be collected and visualized to inform public policy to improve public health [57]. This project similarly aims to collect data to inform how people's movements and activities in the city respond to information and affect public health outcomes in the COVID-19 outbreak.

2.1 Mobility and Viral Transmission within Cities

Viral outbreaks are both natural and anthropogenic—their spread is directly tied to people's activity and movement. Wilson and Chen noted that SARS-CoV-2, the virus that causes COVID-19, spread most quickly and proportionally to the cities with the greatest passenger volume from the Wuhan International Airport [75]. Studies and models of viral transmission often focus on city-scale transmission, examining factors such as air travel or vehicular commute on the spread of viral disease [8, 50].

The question of how to contain spatial spread of infectious disease to prevent the overloading of medical capacity, however, speaks to the need to understand how to contain viral spread within cities and neighborhoods [11, 66, 67]. Traditional empirical studies of disease outbreaks are based on contact tracing (for example, References [7, 12, 14, 74, 77]); these methods break down when the infectious disease becomes more prevalent. The application of urban mobility tracking and urban space occupancy can help understand what activities and agents promote and prevent transmission of disease at a broader scale.

2.2 Social Distancing Efficacy

The observation of people's movement can help us to understand the efficacy of social distancing measures [73]. Research studying the effects of social distancing design has focused on the effect of high-level interventions such as closing schools and work locations or shutting down large conferences or social events that have the potential to infect many people who then disperse the virus geographically [4, 30, 55]. Studies of social distancing have been largely premised on physical models of droplet transmission and small-scale analysis of outbreak growth. From the 1930s to the early 2000s the social distancing guidelines suggested people stay three feet apart. This recommendation was based on work by W. F. Wells studying the physics of droplet transmission though the air [71]. The three-foot guideline was only revised to six feet after an analysis of disease transmission

on a flight during the SARS epidemic of 2003 [53]. Recent scholarship of transmission of COVID-19 specifically has further complicated these general guidelines [17, 37, 62]. The data being collected in this study contribute to the understanding of transmission at a scale between the individual case studies of transmission and larger scale epidemiological models of transmission.

Epidemiological models of disease outbreaks suggest that individual behaviors and compliance with public health directives can strongly influence how quickly a disease spreads [22]. In Hong Kong, for example, the prevalence of mask wearing and the avoidance of crowds helped to reduce the spread of COVID-19 through a densely populated city [18]. Observations of differences in infection rates in neighborhoods exhibiting different individual behaviors—for instance, whether people gather in large or small groups or whether they wear face masks—can help to tease apart the effects of large-scale social distancing measures from the effects of individual compliance and behavior.

Collection of data on urban mobility over the course of a disease outbreak also makes it possible to examine the role of information and policy on social behavior, and, in turn, on health outcomes. These data make it possible to see the differential effects of changes in policy. During the flu epidemic of 1918–19, for example, extensive infection control measures were imposed in Sydney, Australia: Theaters were closed, masks were made compulsory, meetings and church services were prohibited. Once these measures were lifted, however, the public resumed normal behavior, and this caused another wave of sicknesses and deaths that led to the resumption of control measures [15, 47]. Longitudinal tracking can also be used to map the effectiveness of public health information campaigns. Knowledge of epidemic outbreaks can cause people to voluntarily perform social distancing [27] but also to flee epidemic centers and thereby spread infectious diseases [48].

2.3 Individual Factors in Compliance

Compliance is a critical contributor to the effectiveness of social distancing policy, yet enforcement of social distancing measures is difficult. Many epidemiologists argue that the socio-economic status, role, or connectivity of individual actors in larger social networks needs to be accounted for in modelling contagion [11, 25, 40, 67]. Research based on surveys and focus groups about social distancing and influenza indicates that young people [63], men [24], people with job insecurity or no sick leave [13], and people who do not trust government [10] are less likely to comply with social distancing measures.

Understanding the differential incentives and motivating factors [56], as well as differences in the way that information is conveyed to different social actors [25], can be important to understanding how to design social policies or information campaigns to specifically address the needs, concerns, and motivations of different people in the larger public. By using collected data to infer *characteristics* of people moving through public spaces, such as age, gender presentation, role, and activities, we can better address individual factors in compliance. We feel that, for privacy reasons, our approach is preferable to gaining similar knowledge through tracking of actual individuals.

2.4 Technologies for Tracking Urban Mobility and Occupancy

Technology enables us to collect information about who is moving through the city, for what purpose, and where and why people might be clustering.

Because mobile phones often collect location data by default, they can be used to track people's trajectories, transportation modes, dynamic contacts, and disease dynamics in an urban environment [32, 69, 72, 76]. Tizzoni et al. found that mobile phone use captures 87% of empirical travel data, and that it can be a good proxy for modeling epidemics [68]. To be useful in tracking infectious disease, this data needs to be linked to demographic, social interaction, and disease status factors [72]. **Public web cameras are also being used to track occupancy of urban spaces and movement patterns of individuals and groups** [35, 60, 64], and to estimate pedestrian volume [43, 54]. Although these cameras are sparsely distributed throughout urban spaces, **vehicle-mounted cameras driving through urban and suburban areas can also be used to track road-side activities** [38]. 32:4 • W. Ju et al.

Computer vision can be used to infer individual factors, behaviors, and activities in video. Recognition techniques have been developed to estimate the gender and age of pedestrians based on gait [44, 46]; similar methods might be used to infer activity or role through identification of equipment or uniforms that people are holding or wearing. Prior research uses video to identify whether people are congregating in large or small groups [28, 49] or moving in social groups within larger crowds [39]. Techniques such as pose estimation can be leveraged for analysis of people's physical and spatial arrangement in video data [16]. Socio-economic attributes of a neighborhood including income, race, housing prices, and voting patterns have been successfully inferred from street view image data collected from roving vehicles [6, 29, 42]. Data collected from public cameras and mobile phones can be used to better understand how people are moving through the city during the COVID-19 pandemic and New York City's social distancing orders, to identify social behaviors and their relation to policy directives and health outcomes.

3 METHODOLOGY

Building upon prior research collecting empirical data about pedestrian and other road-user behavior and interactions [20, 41, 58], as well as work gathering large datasets of on-road data for machine learning about human behavior [9, 61], we are collecting data about urban mobility and occupancy of pubic space in New York City under social distancing policy. Our data collection methodology aims to create a multidimensional perspective of the city, taking into consideration different scales and changes over time. The three sources of data collection represent different scales of observing the city:

- (1) The top-down *City* scale is gathered through video streams captured from public traffic cameras found throughout New York.
- (2) The street level *Public* scale is gathered through video footage taken from instrumented vehicles driving through city streets.
- (3) The bottom-up *Individual* scale is gathered through mobile phone location data volunteered by local citizens.

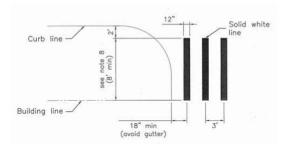
4 WORK IN PROGRESS

The research activity for this project commenced in the middle of April 2020, about a month after the "Stay at Home" order was issued in New York State. To build the multi-scale perspective described above, we assembled an interdisciplinary team with researchers from information sciences, urban planning and design, human-robot interaction, and computer science. We have consulted an epidemiologist and urban researchers to make sure our approach to data collection will be as relevant as possible to these other disciplines. We have made early effort to publicly communicate our activity and goals. This is primarily centered on the project website, which describes the project objectives in lay terms, including a short video. The website, https://www.socialdistancing. tech.cornell.edu/, helps us recruit volunteers and participants through social networks for data collection, and is also a resource for potential partners as we ramp up our efforts. We intend to use the site to report on ongoing progress and initial results as well. At the end of the process, it will be used to disseminate the research results to a wider audience beyond academia.

4.1 The City-Top-down Public Webcam Data Collection

The NYC Department of Transportation (DOT) maintains a list of approximately 650 publicly accessible webcams for real-time traffic estimation [2]. These cameras are not evenly distributed, rather they focus on major crosswalks, intersections, and roadways. The cameras update approximately once per second, and we download images approximately once every 90 seconds to 10 minutes, depending on server response times. The fixed nature of these cameras provides regular change in pedestrian traffic within each camera's view. Unlike aggregated activity reports provided by large tech companies [5, 33], these cameras capture the enacted physical

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(a) Crosswalk marking dimensions are provided by the NYC Department of Transportation's technical drawings for *Typical Pavement Markings* [52]



(b) Distances can be approximated via inverse geometric transformations of known shapes and patterns

Fig. 1. For each camera, we can use standard street markings to determine reasonable estimations of distance with no knowledge of camera characteristics.

behaviors of social distancing. Leveraging standardized artifacts of the urban environment, we can accurately estimate distances in many of these camera video feeds as can be seen in Figure 1.

These data give us comprehensive information about the number of people in the same location over time. Applying machine learning algorithms to the images collected, we have generated an empirical count of the number of people at each site. We are also exploring automated methods to determine whether the people visible in these images are wearing a mask. These images also enable comparative analysis of occupancy over different times of day (morning, afternoon, or evening, and potentially overnight), over weekdays and weekends, and different local weather conditions (for example, a rainy day or a sunny day).

The primary limitation of this dataset is that the existing traffic web cameras' installation locations do not necessarily match the regions of greatest interest with respect to social distancing behavior. There are, for example, far fewer cameras in the Bronx or Queens, where there were major early outbreaks of COVID-19, than in Manhattan and Brooklyn. Nevertheless, this dataset helps us to calibrate the data collected at other scales so we can better estimate the net pedestrian traffic in places where top-down public data are not available.

4.2 The Public-Street-level Data Collection

To build the street-level perspective, we are collecting on-road data from dashboard cameras ("dashcams") of vehicles driving throughout NYC. The types of data we intend to derive from this strata of data are shown in Figure 3. At first, we performed our own data collection, borrowing methodology we developed through previous research for on-road behavior [9, 61]. This was done for two weeks to develop a working protocol and to better understand what data can be reasonably captured. After initial analysis of this early data, we have narrowed focus on the important parameters to visualize and the geographical areas that we want to cover.

To scale up data collection efforts beyond what our research team can personally collect with our single research vehicle, we developed a protocol for using Mapillary's [1] mobile phone app to capture specific routes in each borough several times per week (see Figure 2). We initially recruited drivers through TaskRabbit [3], and each driver was provided with equipment to collect public road-side imagery to the Mapillary database. We assigned one driver for each borough, prescribed driving routes, and monitored their submitted image streams.

More recently, we have also established a data exchange relationship with Nexar, a company that collects data from connected dashcams deployed in Uber, Lyft, and city taxis to improve road safety. We have licensed access to Nexar's database of NYC street-level images for the period six months prior to the "Stay at Home" order and three months after. This dataset enables us to form a more comprehensive map of street occupancy over time.

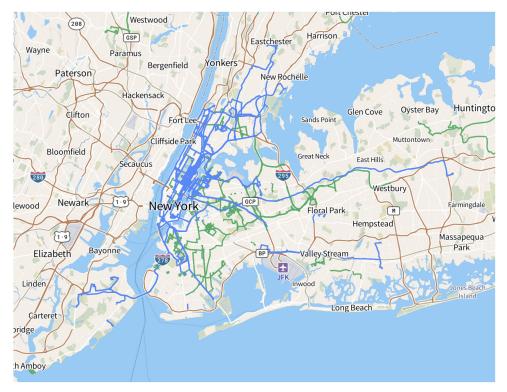


Fig. 2. All Mapillary public data collected since March 1, 2020. FAR Lab's data are in blue.



Fig. 3. Computational analysis to be performed on the Public-scale captured data.

Our *Public*-scale dataset gives us more nuanced understanding of the street and its small-scale human interactions. These data will give us a deeper understating of how people are adapting their behavior and the urban space around them to current social distancing policy. Using this granular street-level imagery, our analysis aims to track:

Changes in the way people are using the built environment. We are analyzing the spatial dimensions of the street (width of sidewalk, location of garbage bins, presence of an essential institution) in relation to the people in them to find correlation or divergence. We are also observing the skyline of the street to

see if there is correlation between street section and pandemic statistics—for example, does an area with a wider, more open street section have less infections?

- Changes in the way people are socializing with each other. Initial observations indicate a new "sidewalk ballet" [36] of people moving slightly away from one another, using objects in the street to create a divide between groups, or crossing the street to avoid contact.
- **Changes in people's activities.** By training computer vision algorithms to detect objects people are carrying with them, such as a shopping bag, a dog leash, or a tennis racquet, we can infer the different activities people are pursuing under social distancing.

4.3 The Individual–Bottom-up Mobile Phone Data Collection

To build the individual person perspective, we are zooming in to one-person routines and routes throughout the social distancing policy duration. To reach as many volunteers as possible, we created a website that includes the main objectives of the project. Through advertising this website via social network platforms, we are recruiting volunteers from the greater New York City area to share their geotracking data collected from Google Takeout and Apple Location History [21]. To further deepen our understanding on the individual perspective, we are supplementing this data collection with a questionnaire that includes information about employment, gender, health status, and self-reported compliance with social distancing measures. This information is collected with consent and does not include personally identifiable information. We elicit information about the general location of each participant's work and home and perform obfuscation of location data to avoid revealing participants' identifies. Collecting the routes of specific people over time will enable deeper understanding of new routines, patterns of movements, and activities of different stakeholders. The questionnaire data attached to the routes will help us perform statistical calibration of compliance with social distancing according to age, gender, health status, employment status, and so forth.

5 ETHICAL CONSIDERATIONS FOR DATA COLLECTION AND SHARING

The proposed research raises several safety and ethics considerations, which we address here.

- **Data collection and surveillance.** This research involves the collection of data that can be personally identifiable. Although we believe that our work will have immediate and positive consequences for public health, we are mindful that the methods that we are using to contain contagion can also be used by authoritarian governments to monitor social assemblies and protests [70]. To this end, consideration of how to manage and mitigate risks of misuse are an active part of this research.
- **Contextual integrity.** The specific issue of privacy depends on ethical norms surrounding information capture and use [51]. People's expectations of privacy during disasters differs from non-emergency situations [59], so we will also employ differential privacy measures [23] to make sure data are shared.
- **Risks to participants, the public, and others.** We will develop a risk-mitigation checklist to minimize potential for harm from vehicles involved in our data collection efforts. It is possible that this dataset might also capture criminal activity or civil infractions; since the purpose of this data collection is to monitor human activity for public health reasons, we aim to use the data only for these purposes to the fullest extent allowable by law and with protections appropriate to the context of use.

6 EMERGING ISSUES AND OUTCOMES

This project is in its initial stages, so it is as yet too early for detailed discussion. Already there were a large number of changes and circumstances affecting our research that we could not have anticipated. Here are some of the issues that have emerged:

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- **Responses to changes in weather.** The "stay at home" order was issued on March 20, with NYC winter conditions forcing people to spend most of their time indoors. However, as the weather warmed, more people headed outdoors to parks [31]. Whether or not these outdoor spaces are healthful or harmful is a matter of debate, the type that our research is intended to help address, so these spaces are now important locations to also instrument and observe. To adapt our data collection to changing conditions, we are working on a strategy to recruit more volunteers to collect data in parks. Our goal here is to fill the gaps between places that are accessible by cars by including those places that are only open to pedestrians.
- The killing of George Floyd and subsequent protests. The public response to events in Minnesota and longstanding inequities in American society have led to massive public protests worldwide and in New York City. Additional public activity on the street level has created different mobility patterns unrelated and in conflict with social distancing policy. To analyze this period, we plan to take into consideration those activities and focus on social distancing compliance within a crowded area. This information could be of great importance as a comparative dataset. In addition, the protest activity made it harder to collect some of our data. For example, the New York City Department of Transportation's public web cameras popularity as a source of information for activists tracking police activity [26] has affected the rate of camera data supplied at key intersections.
- The progression of COVID-19 has been stemmed in New York City and New York State, but has risen dramatically elsewhere, making travel *into* New York a key concern [19]. We anticipate that these will be aspects of the research that we will be focusing on when we subsequently summarize the research at a later date.
- **Changes in social distancing policies.** The media coverage and governmental campaigns change constantly, and with them, public behavior. These impacts also will be an aspect to focus on in the analysis phase, to check for correlations between governmental campaigns and the way people behave on the street.
- **The reopening of NYC.** As New York City begins its phased reopening, many unprecedented changes in the use of public spaces are occurring. Streets are being closed to vehicular movements to prioritize pedestrians. Restaurants, bars, and cafés are taking over sidewalk spaces to enable dining outside. Social distancing lines are forming on many streets as people wait for takeout or to enter grocery stores. All those changes are being documented in our multi-scale datasets.

The analysis of the collected data will be useful for evaluating the efficacy of various reopening strategies. Moreover, through this analysis, we can better understand how people are adapting their behaviors of daily life in urban environments. While we do not know what will come next, it is clear that the transformations of the city will be instrumental to future public health and social policy design. By anticipating and instrumenting these changes using various overlapping measures, we hope to help inform planning for this and future pandemics.

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